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31060 U.S. PTO

Patent
Attorney Docket: 261/275

31017 U.S. PTO
09/847425
10/10/01

To: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL - UTILITY

Sir:

Transmitted herewith for filing is a **utility** patent application:

Inventor(s): BARBUT, Denise

Title: DEVICES AND METHODS FOR PREVENTING DISTAL
EMBOLIZATION USING FLOW REVERSAL AND PERFUSION
AUGMENTATION WITHIN THE CEREBRAL VASCULATURE

I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):

32 Page(s) of Written Description
12 Page(s) Claims
1 Page(s) Abstract
43 Sheets of Drawings ☒ Informal ☐ Formal

II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:

☒ Declaration
☒ Power of Attorney ☐ Separate ☒ Combined with Declaration
☒ Assignment to CoAxia, Inc. and assignment cover sheet
☐ Certified Copy of Priority Document No(s):
☒ Information Disclosure Statement w/PTO 1449 ☒ Copy of Citations
☒ Preliminary Amendment
☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35
☒ Return Postcard
☐ Other:

III. PRIORITY

☐ Priority of application Serial No. _____ filed on _____ in _____ is claimed under 35 .S.C. § 119.

CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL622503023US
Express Mail Label No.

May 1, 2001
Date of Deposit

Cynthia B. Pacheco
Cynthia B. Pacheco

IV. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

<input checked="" type="checkbox"/>	Applicant claims small entity status pursuant to 37 CFR § 1.27							
BASIC FILING FEE:								\$710.00
Total Claims	23	-	20	=	3	x	\$18.00	\$54.00
Independent Claims	7	-	3	=	4	x	\$80.00	\$320.00
Multiple Dependent Claims	\$270	(if applicable)					<input type="checkbox"/>	\$0.00
TOTAL OF ABOVE CALCULATIONS								\$1,084.00
Reduction by ½ for Filing by Small Entity, if applicable, as asserted above. Note 37 CFR §§ 1.9, 1.27, 1.28.								<input checked="" type="checkbox"/> \$542.00
Misc. Filing Fees (Recordation of Assignment -- \$40)								\$40.00
TOTAL FEES DUE HEREWITH								\$582.00

V. METHOD OF PAYMENT OF FEES

- ☒ A check in the amount of \$395.00.
☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \$187.00.
☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

VI. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. **12-2475** for the following:

- ☒ 37 CFR § 1.16 – (Filing fees and excess claims fees)
☒ 37 CFR § 1.17 – (Any application processing fees)
☒ 37 CFR § 1.21 – (Assignment recording fees)

VII. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



22249

PATENT TRADEMARK OFFICE

LYON & LYON LLP
Suite 4700
633 W. Fifth Street
Los Angeles, CA 90071
(949) 567-2300 or (213) 489-1600

Please direct all inquiries to John Kappos, at the above customer number.

Respectfully submitted,

LYON & LYON LLP

Dated: May 1, 2001

By: John Kappos
John Kappos, Reg. No. 37,861
Attorneys for Applicant